

FATCA/CRS Self-Certification

Entities

Wealthport (Pty) Ltd (2012/025878/07)

Wealthport (Pty) Ltd ("Wealthport") is an Authorised Financial Services Provider (FSP No. 44158)

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Important Information:

- The Organisation for Economic Co-operation and Development (OECD) released a standard for automatic exchange of financial account information in tax matters and as a result additional information is required in particular as part of the account opening process.
- Please inform Wealthport immediately should any of the details change in the future or if there are any changes in circumstances that could affect your tax residency or FATCA classification.
- Additional information can be found via the following websites:
 - ✓ FATCA: <https://www.irs.gov/>
 - ✓ CRS: <https://www.oecd.org/>

Please select the type of investing entity:

- Foreign Company
- Listed Company
- Unlisted Company
- CC
- Trust (any type)
- RSA Government, Provincial Administration, Municipalities
- Retirement Fund (Pension, Provident, Benefit, RA, etc.)
- Other (Please provide a description of the entity's nature) _____

1. Investor details - Entity

Name:

Registration number:

2. Classification of Controlling Persons* for Entity

| Name and Surname | Country(ies) of Tax Residency | ID Number | Email address |
|------------------|-------------------------------|-----------|---------------|
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* Each controlling person must complete a separate Individual FATCA/CRS Self Certification Form

3. Foreign Account Tax Compliance Act (FATCA) information

Is the Entity a specified US Entity? Yes No

Does the Entity have any tax obligation in the US? Yes No

If Yes, please provide TIN:

* If you do not have a TIN, please provide a reason: _____

Has the Entity been issued a GINN Number* by the IRS in the USA? Yes No

*Global Intermediary Identification Number

If Yes, please provide number:

7. Common Reporting Standards (CRS) Entity Classification: Financial Institutions

If the Entity is a registered Financial Institution, please select the option below that best describes the status of the Entity under CRS:

- Entity which is traded on an established securities market or affiliated thereto, a Government Entity or International Organisation**
- Professionally managed Investment Entity outside of CRS Participating Jurisdiction -**
 Trading in money market instruments (cheques, bills, certificates of deposit, derivatives etc), foreign exchange interest rate and index instruments, transferable securities or commodity futures trading, individual and collective portfolio management or otherwise investing, administering, or managing Financial assets or money on behalf of persons Or the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, Custodial Institution, Specified Insurance Company or Investment Entity.
- Any other Investment Entity not mentioned above**

8. Common Reporting Standards (CRS) Entity Classification: Non-Financial Institutions

If the Entity is a Non-Financial Institution, please select the option below that best describes the status of the Entity under CRS:

- Active non-financial entity** - Entity whose shares are regularly traded on an established stock exchange or that is related to another entity whose shares are regularly traded on an exchange
- Active non-financial entity** - Government entity, Central Bank, International Organisation
- Active non-financial entity** - Non-profit Entity registered as a public benefit organisation
- Active non-financial entity** - Entity for which less than 50% of gross income is passive income, and less than 50% of assets held are assets that produce, or are held for the production of, passive income
- Other Active non-financial entity**
- Passive non-financial entity**- Any non-financial entity other than an active non-financial entity

9. Declaration and undertaking

The governments of South Africa and certain other countries have agreed to share information in respect of financial assets held within their boundaries. The overall aim is to improve information gathering from financial institutions.

Wealthport is legally obliged to provide the South African Revenue Service (SARS) with certain information you provide to Wealthport when you invest or transact with us. SARS in turn will pass such information to other tax authorities outside of South Africa as required by the agreements the government has entered into.

- I hereby agree to provide all documentation and information required in terms of Wealthport's business rules.
- I confirm that all information provided herein is true and correct and that I have read and understood the contents of this form.
- I understand that Wealthport may request additional documentation and/or information to establish my tax status and will comply with such request.
- I understand that Wealthport may be legally obliged to disclose the information contained in this form to the South African Revenue Service.

Signature of Authorised Person:

Date:

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|---|---|---|---|---|---|---|---|---|---|
| Y | Y | Y | Y | / | M | M | / | D | D |
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Full Name and Surname:

Capacity of Signatory: