

FATCA/CRS Self-Certification

Natural Person Investor/Controlling person of an Entity

Wealthport (Pty) Ltd (2012/025878/07)

Wealthport (Pty) Ltd ("Wealthport") is an Authorised Financial Services Provider (FSP No. 44158)

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Important Information:

- The Organisation for Economic Co-operation and Development (OECD) released a standard for automatic exchange of financial account information in tax matters and as a result additional information is required in particular as part of the account opening process.
- Please inform Wealthport immediately should any of the details change in the future or if there are any changes in circumstances that could affect your tax residency or FATCA classification.
- Additional information can be found via the following websites:
 - ✓ FATCA: <https://www.irs.gov/>
 - ✓ CRS: <https://www.oecd.org/>

1. Investor/Controlling person of an entity details

First name:

Surname:

ID number:

Email address:

Contact number:

Tax number:

Are you completing this form as a controlling person of an entity? Yes No

Controlling Person under CRS and FATCA, means the natural person who exercise control and who ultimately has a controlling ownership interest in the legal entity. A beneficiary of a Trust would also be classified as a controlling person.

If yes, please complete below:

Legal Name of Entity of which you are a controlling person:

Type of investing entity:

Company CC Trust Partnership Sole Proprietor

Please indicate in the table below the type of controlling person you are in relation to the legal entity:

Control by ownership	<input type="checkbox"/>
Control by other means	<input type="checkbox"/>
Senior Managing Official	<input type="checkbox"/>
Settlor of a Trust	<input type="checkbox"/>
Trustee of a Trust	<input type="checkbox"/>
Protector of a Trust	<input type="checkbox"/>
Beneficiary of a Trust	<input type="checkbox"/>
Other controlling person of a Trust	<input type="checkbox"/>
Settlor Equivalent of a Legal Arrangement (Non-Trust)	<input type="checkbox"/>
Trustee Equivalent of a Legal Arrangement (Non-Trust)	<input type="checkbox"/>
Protector Equivalent of a Legal Arrangement (Non-Trust)	<input type="checkbox"/>
Beneficiary Equivalent of a Legal Arrangement (Non-Trust)	<input type="checkbox"/>
Other Equivalent of a Legal Arrangement (Non-Trust)	<input type="checkbox"/>

2. Foreign Account Tax Compliance Act (FATCA) information

Are you a US Person / US National or hold US Citizenship?

Yes No

If "Yes", please provide your Tax Identification number (TIN):

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* If you do not have a TIN, please provide a reason: _____

Were you born in the US, but are no longer a US citizen due to voluntarily surrendering your citizenship?

Yes No

*If "Yes", please provide a copy of your non-US passport and a copy of your US Certificate of Loss of Nationality

3. Common Reporting Standards (CRS) information

Please list all countries, except for South Africa, where you are resident for tax purposes and provide your Tax Identification Number (TIN).

A TIN is the tax reference number issued to you by the tax office in the country where you are resident for tax purposes. Where a country does not issue a TIN, complete an equivalent number, for example a social security, national insurance, personal identification or a resident registration number.

Are you a resident for tax purposes anywhere other than South Africa?

Yes No

If you have answered 'Yes' please complete below:

	Country(ies) of Tax Residency	Tax Identification Number (TIN)*
1		
2		
3		

* If you do not have a TIN, please provide a reason: _____

4. Declaration and undertaking

The governments of South Africa and certain other countries have agreed to share information in respect of financial assets held within their boundaries. The overall aim is to improve information gathering from financial institutions.

Wealthport is legally obliged to provide the South African Revenue Service (SARS) with certain information you provide to Wealthport when you invest or transact with us. SARS in turn will pass such information to other tax authorities outside of South Africa as required by the agreements the government has entered into.

- I hereby agree to provide all documentation and information required in terms of Wealthport's business rules.
- I confirm that all information provided herein is true and correct and that I have read and understood the contents of this form.
- I understand that Wealthport may request additional documentation and/or information to establish my tax status and will comply with such request.
- I understand that Wealthport may be legally obliged to disclose the information contained in this form to the South African Revenue Service.

Investor/Controlling person Signature:

Date:

Y	Y	Y	Y	/	M	M	/	D	D
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Capacity of Signatory (if not the Investor): _____