



Protection of Personal Information

Wealthport undertakes to comply with the Protection of Personal Information Act, No 4 of 2013 (“POPI”) in their dealings with Personal Information as and when the respective provisions of POPI become effective. Wealthport will take all reasonable steps to ensure that their subcontractors comply with POPI, in particular where the subcontractors are processing Personal Information in terms of this Agreement.

Personal Information bears the meaning accorded to it in POPI.

Wealthport acknowledge that POPI has been incorporated in their business models, systems and operating procedures.

Where, during the Wealthport Client take-on process personal information is provided to Wealthport via written application forms, email, via postal/courier services, telephonically or any other means that may be in place from time to time to effect the instructions of a Client, Wealthport commits to take all reasonable steps to:

- Ensure that your Personal Information is only used for its authorised purpose;
- Notify you of any request it receives from third parties for access to or changes to the Personal Information not explicitly instructed in writing to Wealthport by you or an authorised representative appointed on your behalf;
- Not transfer your Personal Information in any manner to any third party not authorised in writing by you;
- Not send your Personal Information outside South Africa without prior written authorisation provided by you;
- Comply with all laws, policies and procedures relating to the protection, storage, handling, privacy, processing and retention of your Personal Information as well as the destruction of Personal Information;
- Take appropriate and reasonable technical and organisational security measures to prevent the loss of, damage to or unauthorised destruction of your Personal Information, and the unlawful access to or processing of Personal Information.
- Take reasonable steps to identify all reasonably foreseeable internal and external risks posed to data under its possession or control and establish and maintain appropriate safeguards against any risks identified.
- Provide a level of security appropriate to the harm that might result from any unauthorised or unlawful processing or accidental loss, destruction or damage to your Personal Information and also to the nature of the Personal Information being protected.
- Ensure its staff undergo the necessary awareness and training programmes.

What personal information does Wealthport keep on record and for what purpose?

- We keep personal information on record in order to comply with relevant legislation, namely the Financial Intelligence Centre Act (“FICA”) amongst others
- We keep other information required to process and administer your investments and confirm your identity. This information includes amongst others identity numbers, tax numbers, bank account numbers and proof of residential address.

- Personal information collected may also be used for your protection for purposes of identifying and preventing fraudulent transactions, money laundering and/or any criminal activity.
- Your information will be kept by Wealthport for purposes of audit requirements and general record keeping.
- Personal information may be used to communicate with you with respect to your investment account with Wealthport.
- We do not sell, rent or disclose personal information about our clients to third parties, except as permitted by law or for which you have provided your consent.

What does Wealthport do to protect personal information?

- Your personal information may only be accessed by those Wealthport employees, agents, representatives or third parties who need to access the information to provide products and services to you.
- We maintain reasonable physical, electronic and procedural safeguards to protect personal information.

With whom does Wealthport share personal information, and why?

- We may share aggregated information (for example demographic data) with stakeholders, but we will not disclose your personal information to third parties unless your consent is provided or as provided for in this privacy statement.
- Wealthport will disclose information when lawfully required to do so in order to comply with any relevant legislation or any legal process.
- Wealthport will also disclose information required by any regulatory authority such as the Financial Services Board and the regulators it may appoint for the various financial sectors.

How is information provided on the Wealthport website protected?

- Wealthport uses multiple levels of security regardless of the delivery channel you choose. We maintain personally identifying information in secure computer systems and we limit employee access to those with a business reason to access the information. More specifically, employees may access information about you when needed to maintain your accounts or otherwise meet your needs. We safeguard information according to established security standards and procedures.
- All telephonic communication is recorded and kept according to regulatory requirements.
- All written communication and/or any application paperwork is kept off-site.

Am I protected when linking to other websites via www.wealthport.co.za?

The website may, from time to time, contain links to other web sites, banner ads or advertisements for your convenience in locating information and services that may be of interest. If you use those linked internet web sites or advertisements, you may leave the Wealthport website and be directed to web sites that we do not control. If you decide to visit those internet sites or advertisers, you do so at your own risk and it is your responsibility to read and comply with the privacy policy of the respective web sites. Your right to privacy on linked sites is limited to whatever rights are provided to you by the owner of the linked site, and is not the responsibility of Wealthport.

How can I update my personal information?

You may at any time request a Wealthport client services consultant to update your information. You will be required to provide proof of requested changes in writing to Wealthport upon request. Wealthport staff will take all reasonable steps to confirm your identity before making changes to the personal information on record.

Who can I contact with any questions or concerns?

You can contact the Wealthport client services via admin@wealthport.co.za or 010 593 3103 or the Wealthport Key Individual via catherin@wealthport.co.za